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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/631,201	
	<b>Filing Date</b>	July 31, 2003	
	<b>First Named Inventor</b>	Lawrence H. Ost	
	<b>Group Art Unit</b>		
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	4	<b>Attorney Docket Number</b>	00985.00.0073

<b>ENCLOSURES</b> (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Form PTO/SB/08A; copies of 9 cited patents; return receipt postcard
<b>Remarks</b>		

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual name	Michael J. Turgeon, Reg. No. 39,404 Vedder, Price, Kaufman & Kammholz
Signature	<i>Michael J. Turgeon</i>
Date	September 30, 2003

<b>CERTIFICATE OF MAILING</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: September 30, 2003			
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Signature	<i>Michael J. Turgeon</i>	Date	September 30, 2003

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PATENT  
DOCKET NO.: 00985.00.0073

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the )  
application of: Lawrence H. Ost )  
Serial No.: 10/631,201 ) Examiner:  
Filed: 07/31/2003 ) Group Art Unit:  
Title: FILTER FRAME AND ASSEMBLY )

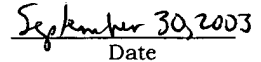
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Michael J. Turgeon, #39,404

  
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Date

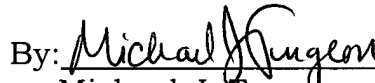
**INFORMATION DISCLOSURE STATEMENT**

Applicants enclose Forms PTO/SB/08A and 08B, Information Disclosure Statement by Applicants, as well as a copy of each of the cited documents. This statement is provided in order to comply with 37 C.F.R. §1.56, §1.97 and §1.98, and this statement is not to be construed as a representation that no information exists which is more material than these documents.

Because Applicants have complied with all of the requirements of the above-noted Code of Federal Regulations Sections, Applicants herewith request that the listed prior art be cited in the patent to issue upon the present application.

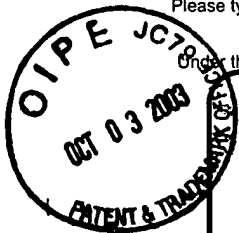
Respectfully submitted,

Dated: September 30, 2003

By:   
Michael J. Turgeon  
Reg. No. 39,404

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312/609-7716

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet | 1

1

of 1

1

**Complete if Known**

<b>Application Number</b>	10/631,201
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10/631,201
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<b>Filing Date</b>	07/31/2003
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07/31/2003

First Named Inventor	Lawrence H. Ost
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Lawrence H. Ost

Group Art Unit

Examiner Name

Attorney Docket Number	00985.00.0073
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00985.00.0073

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date	
Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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